



VIA EXPRESS MAIL NO. ER 535430415 US

PTO/SB/21 (02-04)

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|  |                        |                         |
|--|------------------------|-------------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number     | 09/803,173              |
|  | Filing Date            | March 9, 2001           |
|  | First Named Inventor   | Chong Seng Cheng et al. |
|  | Art Unit               | 2186                    |
|  | Examiner Name          | Choi, Woo H.            |
| Total Number of Pages in This Submission   | Attorney Docket Number | 1601457-0004            |

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**MAY 25 2004**  
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| ENCLOSURES (Check all that apply)  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Request for Correction of Inventorship Under 37 CFR § 1.48(a) and MPEP § 201.03<br>Return Postcard |
| Remarks  |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |   |
|-------------------------|---|
| Firm or Individual name | Warren S. Heit, Reg. No. 36,828<br>White & Case LLP |
| Signature               |   |
| Date                    | May 19, 2004  |

**CERTIFICATE OF TRANSMISSION/MAILING**

|  |            |      |              |
|--|------------|------|--------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage <del>as first class mail</del> in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. <b>VIA EXPRESS MAIL No. ER 535430415 US</b> |            |      |              |
| Typed or printed name  | Patrick Ma |      |              |
| Signature  |            | Date | May 19, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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MAY 25 2004

Technology Center 2100

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Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Date 03 / 09 / 2000  
Atty. Docket 1601457-0004  
Serial No. To be assigned

11033 U.S. PTO  
09/803173  
03/09/01

Sir:

Kindly acknowledge receipt of the accompanying:

- ☐ Maintenance Fee Transmittal and check for \$ \_\_\_\_\_
- ☐ Response to Official Action. \_\_\_\_\_
- ☒ Check for \$ 710.00 (claims fee) Filing Fee
- ☐ Petition under 37 CFR 1.136 and Check for \$ \_\_\_\_\_
- ☒ Req. for Filing Continuation of An International Application (2pgs)
- ☒ Information Disclosure Statement, PTO - 1249 and 12 references
- ☐ Claim priority and certified copies of 88/08A priority applications
- ☐ Issue fee transmittal and Check for \$ \_\_\_\_\_
- ☒ Other (specify) Petition to Make Special w/ Exs. A-D; \$130-fee; Return Postcard

Certified Copy of Priority Document

by placing your receiving date stamp hereon and mailing or returning to deliverer.

Atty. W. Heit

Due Date \_\_\_\_\_  
Mo / Day / Yr

37 CFR 1.8 ☐  
37 CFR 1.10 ☐  
By Hand

WHITE & CASE LLP  
PATENT DEPARTMENT

APR 24 2001

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VIA EXPRESS MAIL NO. ER 535430415 US

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 950.00)

## Complete if Known

Application Number 09/803,173

Filing Date March 9, 2001

First Named Inventor Chong Seng Chen et al

Examiner Name Choi, Woo H.

Art Unit 2186

Attorney Docket No. 1601457-0004

MAY 25 2004

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

23-1703

White &amp; Case LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770                   | 2001 385                   | Utility filing fee     |          |
| 1002 340                   | 2002 170                   | Design filing fee      |          |
| 1003 530                   | 2003 265                   | Plant filing fee       |          |
| 1004 770                   | 2004 385                   | Reissue filing fee     |          |
| 1005 160                   | 2005 80                    | Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | X              |          |
| Multiple Dependent | -3** =       | X              |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |
| 1201 86                    | 2201 43                    | Independent claims in excess of 3                          |
| 1203 290                   | 2203 145                   | Multiple dependent claim, if not paid                      |
| 1204 86                    | 2204 43                    | ** Reissue independent claims over original patent         |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130      | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50       | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130      | 1053 130      | Non-English specification  |          |
| 1812 2,520    | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 920*     | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*   | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110      | 2251 55       | Extension for reply within first month                                     |          |
| 1252 420      | 2252 210      | Extension for reply within second month                                    |          |
| 1253 950      | 2253 475      | Extension for reply within third month                                     | 950.00   |
| 1254 1,480    | 2254 740      | Extension for reply within fourth month                                    |          |
| 1255 2,010    | 2255 1,005    | Extension for reply within fifth month                                     |          |
| 1401 330      | 2401 165      | Notice of Appeal   |          |
| 1402 330      | 2402 165      | Filing a brief in support of an appeal                                     |          |
| 1403 290      | 2403 145      | Request for oral hearing   |          |
| 1451 1,510    | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110      | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,330    | 2453 665      | Petition to revive - unintentional   |          |
| 1501 1,330    | 2501 665      | Utility issue fee (or reissue)   |          |
| 1502 480      | 2502 240      | Design issue fee   |          |
| 1503 640      | 2503 320      | Plant issue fee  |          |
| 1460 130      | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50       | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180      | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40       | 8021 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809 770      | 2809 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 770      | 2810 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 770      | 2801 385      | Request for Continued Examination (RCE)                                    |          |
| 1802 900      | 1802 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 950.00)

## SUBMITTED BY

Name (Print/Type)

Warren S. Heit

Registration No.  
(Attorney/Agent)

36,828

(Complete if applicable)

Telephone 650.213.0300

Signature

Date

May 19, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/803,173  
Applicant : Chong Seng Cheng  
Filed : March 9, 2001  
TC/A.U. : 2186  
Examiner : Choi, Woo H.

Confirmation No.: 9334

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MAY 25 2004

Docket No. : 1601457-0004  
Customer No. : 007470

Technology Center 2100

REQUEST TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. § 1.48(a) AND MPEP § 201.03

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned attorney for Applicant(s) hereby respectfully request correction of the inventorship of the above-captioned Patent Application, by adding Poo Teng Pin as a co-inventor of originally named inventor Cheng Chong Seng. In support thereof, the following documents are enclosed herewith:

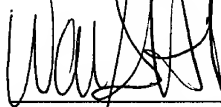
1. Statement of Poo Teng Pin, the individual to be added as an inventor of the above-captioned Patent Application, in support of this Request;
2. Declaration under 37 CFR § 1.63 by Poo Teng Pin and Cheng Chong Seng;
3. Assignment document executed by inventor Poo Teng Pin;
4. Written Consent of the Assignee of the above-captioned Patent Application, Trek Technology (Singapore) Pte Ltd, in support of this Request; and
5. A copy of PCT Notification of the Recording of a Change (Form PCT/IB/306) showing that the same inventorship correction as requested herein has been recorded by the

International Bureau of WIPO for International Patent Application No. PCT/SG00/00029, the PCT application on which the above-captioned United States Patent Application is based.

In connection with the filing of this Request to Correct Inventorship, the Commissioner is hereby authorized to charge the processing fee required under 37 CFR § 1.48(a)(4) and as set forth in 37 CFR § 1.17(i) to White & Case LLP Deposit Account No. 23-1703.

Dated: May 19, 2004

Respectfully submitted,



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Warren S. Heit (Reg. No. 36,828)

**White & Case LLP**

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